# THIS WEB CONFERENCE WILL BEGIN SOON

TRAUMA SURVIVORS AND LAW ENFORCEMENT:
UNINTENDED CONSEQUENCES AND



RIGHTING THE SHIP



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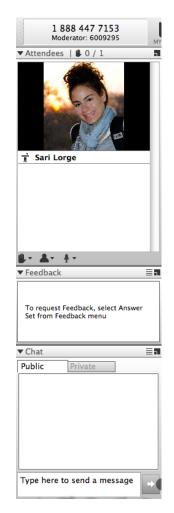
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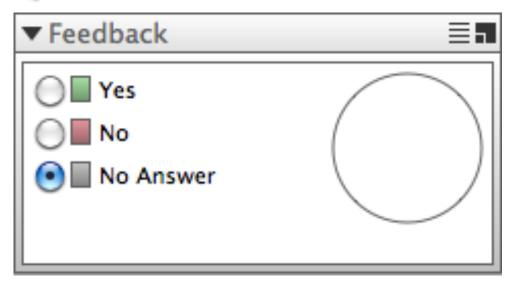
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# Have your Law Enforcement personnel had trauma informed training?

Answer on the left





# Law Enforcement Technical Assistance and Training

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# Trauma Survivors and Law Enforcement

Unintended Consequences and Righting the Ship

David R. Thomas M.S.

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Division of Public Safety Leadership



#### **Professional Goals**

- Law Enforcement
- To protect and serve citizens and victims...
- To keep citizens and victims safe...
- To uphold the law...
- Social Workers, Stakeholders and Mental Health Professionals
- To provide guidance and support to citizens and victims...



#### What's the Relationship

- Law Enforcement
- Social Workers
- Stakeholders
- Mental Health Professionals

Victim service
Perpetrator accountability



### \$ 1Million Questions

- Are our goals similar?
- Where our goals intersect, are the paths we take to get there the same?
- Where and what are the major areas of conflict between the professions?
- How do we come together to achieve similar goals?



#### Knowledge is Power

- How does law enforcement operate?
- Why does law enforcement proceed in the way that they do?
- Is this beneficial information for you and your profession?
- Is it information that could be shared with clients in order that they be able to more effectively deal with law enforcement?



#### Convergence of Influences

- <u>Family of Origin</u> and the origin of the word family, "familia"
- Police family/culture
- Entering profession at a young, impressionable age
- Macho culture
- Self Care?



#### The Job and the Culture

"To protect and serve!"

- Some are drawn to the profession due to the inherent power and control that comes with the job
- How that translates into how well they ultimately are judged for performing their job and revered by fellow officers and supervisors thus has to be questioned
- <u>Female officers</u> are challenged with surviving in a male dominated profession

#### Knowledge is Power

- We know what we know, but we don't know what we don't know
- "What am I missing that's in plain sight?"
- Where do I go for answers, where I go to get insight?



### **Defining Trauma**

- A <u>traumatic event</u> either witnessed or experienced, representing a fundamental threat to one's physical integrity or survival
- Responses involve intense fear, helplessness or horror
- The meaning of the event <u>may be as important</u> as the actual physical act/experience (Lisa Ferentz, 2008)



#### Trauma

- Subjective Experience
- Coping Ability
- Fears
- Overwhelming
- Subjective Experience/Objective Events
   (Jon Allen, Coping with Trauma: A Guide to Self Understanding)
- 1. Single Trauma
- 2. Repetitive Trauma
- 3. Environmentally Induced Trauma



#### The Neurobiology of Trauma

- Evolutionary Heritage
- Primitive Brain
- Survival
- Adaptation
- Obedient Victim
- Dissociation = Freeze
- Fight /Flight or Freeze
- Fear



# Fight or Flight Thwarted

- Instinct
- Freeze
- Pent up energy
- Animals in the wild
- Humans
- Children
- Dissociative state
- Price paid

(Lisa Ferentz, 2008)



#### Impact on Interview?

- Do we expect victims to remember what occurred when an event is reported?
- Do we understand the way the primitive brain works and how it can impact memory?
- Might the victim perceive (whether real or not) that there is pressure to provide a complete narrative?
- What steps might we take to inhibit victims from feeling they must fill in the blanks?
- What lessons can we take away when it comes to the creditability of sexual assault victims?

### Shaking it Off

- Peter Levine observed a key difference between animals and humans in their use of the "freeze" response.
- After threat passes
- Residual effect
- Fixed in the response



#### Humans vs. Animals

- Humans sometimes struggle
- Rational thought inhibits
- Animals do it quickly
- Humans stay stuck
- Traumatic symptoms
- Frozen residue (Peter Levine, 1997)



#### The Loss of Conscious Experience

- The upside
- The downside
- Insights gained?

...will also keep them from learning from the experience...

Bessel Van der Kolk, 1994



### **Implications**

- Conditioned hormonal response
- Future threatening situations
- Coping as a child (Dissociation)
- Like situations as an adult
- Choice

(Lisa Ferentz, 2008)



### All May Not Be Lost

- Narrowing of consciousness (Christianson, 1982)
- Focus
- In the moment
- Parts remembered? (Van der Kolk and Fisher, 1995
- Other senses
- Smells, Sights, Sounds?



#### Interview or Interrogation?

WHEN A VICTIM OF DV OR SA ATTEMPTS TO TELL THEIR STORY TO THE POLICE, THEY ARE OFTEN "INTERVIEWED" WITH THE REID TECHNIQUE..



#### John E. & Associates. INC.

- Founder John E. Reid Associates. INC.
- Polygraph Examiner taught by Fred Inbau, former Director of the Chicago Police Scientific Crime Detection Laboratory
- Reid established a private polygraph practice in 1947

#### <u>Criminal Justice System Mantra</u>

"Inconsistent statements equals a lie"

What about when stress and trauma enter the equation?



# Advancing Interrogations

- In the 1930's, the "third degree" was commonly used to obtain confessions. An interrogator's use of intimidation and coercion could cause an innocent person to confess
- Knowing the "third degree" could cause false confessions, Inabu and Reid, applying psychological principles, developed a structured approach to the interrogation process that in no way relied on coercion or intimidation to elicit the truth

# Interview Characteristics and Purpose

- To develop information to make decisions
- Develop the subjects explanation(s) of the events in question
- To determine the truthfulness of the subject
  - 1. Evaluate the verbal and non-verbal behavior
  - 2. Identify inconsistencies and discrepancies
- To create an environment that will encourage communication
  - 1. Approach in a non-accusatory fashion

# The Physical Lay-Out of an Interrogation/Interview Room

#### Julia Layton

- Maximize discomfort and powerlessness
- Sterile environment to create isolation, exposure, and unfamiliarity
- Heighten the "get me out of here" sensation
- Increase a sense of vulnerability



# The Physical Lay-Out of an Interrogation/Interview Room

Julia Layton

- Seated in an uncomfortable chair
- Out of reach of light switch/thermostat to increase sense of dependence
- One-way mirror increases anxiety and sense of being "watched"
- Increase a sense of being "at the mercy of" the interviewer

#### The Attitude of the Interviewer

#### Julia Layton

- Close physical proximity to establish control
- Good cop/bad cop to create false ally
- Use of leading or inaccurate information to promote confusion or encourage recanting
- Sustained eye contact to command attention
- Physical gestures and words of sincerity to build rapport and get person's guard down



### Goals of the Reid Technique

- Determine the truthfulness of the subject
- Identify inconsistencies and discrepancies
- Observe and evaluate verbal and non-verbal behavior
- Create an environment that encourages communication
- Get confession of guilt or complicity, recanting of "false" reporting

# The Reid Technique

#### **Principles of Behavior Symptom Analysis**

- Non-verbal behavior is responsible for more than half of total communication
- Non-verbal behavior is more reliable than verbal behavior
- 3. The meaning of a verbal response is either supported or contradicted by non-verbal behavior
- 4. The behavior of the interviewer has an influence on the behavior of the subject
- 5. The behavior symptoms of the subject become clear as the anxiety of the subject increases

### The Reid Technique

# Behavioral Attitudes Common to Both Truthful and Deceptive Subjects

#### A. Nervous

Determine whether nervousness is increasing or decreasing

#### B. Angry

- Determine reason for the anger
- Evaluate whether anger is justifiable
- Attempt to diffuse the anger

#### C. Fearful

- May be withholding knowledge others involvement
- May be involved in other misconduct



# The Reid Technique: Typical Truthful Behavioral Attitudes

- Composed
- Concerned
- Cooperative
- Direct and spontaneous
- Open
- Sincere
- Unyielding





# The Reid Technique: Typical Deceptive Behavioral Attitudes

- Overly anxious
- Overly polite
- Defensive
- Evasive
- Complaining
- Defeated
- Rationalizing

- Unconcerned
- Accepting
- Apologetic
- •Quiet
- Guarded
- Crying







#### The Reid Technique: Non-verbal Behavioral Symptoms Indicative of Truth

- Upright posture
- Open and relaxed
- Lean forward on occasion
- Frontally aligned with interviewer
- Casual posture changes
- Look up with eyes to right when remembering
- Look up to left when thinking





#### The Reid Technique: Non-verbal Behavioral Symptoms Indicative of Deception

Munch, Margolis and Thomas, 2009

- Slouching
- Very rigid
- Runner's position
- No frontal alignment
- Closed/barrier posture
- Lack of interest
- Erratic and rapid posture changes
- Head and body slump





# **Critical Thinking?**

IN ADDITION, CERTAIN BODY LANGUAGE IS
INDICATIVE OF "SURRENDER" INCLUDING HEAD IN
HANDS, ELBOWS ON KNEES, SHOULDERS
HUNCHED. ONCE THIS HAS BEEN DETERMINED BY
THE INTERVIEWER, THE GOAL IS TO LEAD THE
SUBJECT INTO CONFESSION OR RECANTING.



#### The Source of the Behavior Matters!

WHEN DEALING WITH SUBJECTS WHO HAVE A
PRIOR HISTORY OF ABUSE AND TRAUMA, ALL OF
THE "DECEPTIVE" BEHAVIORAL ATTITUDES
RESONATE WITH THEIR VICTIM MENTALITY AND
ARE THE LEARNED COPING RESPONSES OF
DISEMPOWERED PEOPLE WHO ARE TRIGGERED,
THREATENED AND BEING RE-TRAUMATIZED BY
AUTHORITY FIGURES.



#### What We Know

When our own are involved in a traumatic event we look at things like "sensory overload," "post-incident amnesia," and the intricacies of, "memory recovery."

#### **Shootings**

37% had memory loss return:

Within hours: 10%

After 1<sup>st</sup> sleep: 15%

After 2<sup>nd</sup> sleep: 20%

DNR: 50%



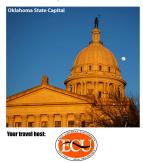
# Perceptual Data Survey

Number of Officers: 157

- Diminished Sound 84%
- •Tunnel Vision 79%
- Automatic Pilot 74%
- Heightened Clarity 71%
- Slow Motion Time 62%
- Memory Loss/Event 52%
- Memory Loss/Own Actions 46%

- Dissociation 39%
- •Intrusive Thoughts 26%
- Memory Distortion/FalseMemories 21%
- Intensified Sounds 16%
- •Fast Motion Time 17%
- Temporary Paralysis 7%

FBI Bulletin, 2002



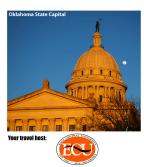
# Basic Crime Scene Psychological Introduce yourselfManagement

- Medical care
- Keep everyone informed
- Family contact
- Mobilize peer support team
- Access peer support
- Privileged confidentiality
- Transportation
- Conflict
- Basic physical comforts
- Timeliness of investigation



## Interviewing Traumatized Victims

- Take the time to establish rapport
- Re-frame their "difficult" or "resistant" behaviors as trauma based, so you can shift from anger and frustration to empathy
- Make the environment where you meet with the victim as physically "safe" as possible
- Be consistent and predictable to avoid triggering the victim
- Help the victim distinguish between "then" (when they were unsafe) and "now" (when they are powerful and safe)

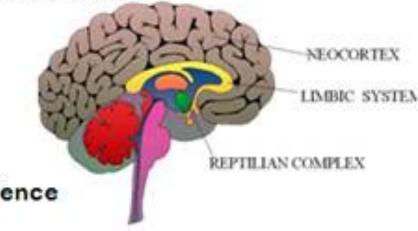


# **Creating External Safety**

- Room temperature
- Inside/outside noise
- Physical proximity to interviewer
- Arrangement of furniture/seating
- Use of artificial and natural lighting
- Phone ringer off/on
- Permission to stop/re-group
- Attention to personal needs: water, bathroom

# A Paradigm Shift... Forensic Experiential Trauma Interview

- Acknowledge their trauma/pain/difficult situation
  - What are you able to tell me about your experience?
    - Tell me more about ... or that...
  - What was your thought process during this experience?
  - What were your reactions to this experience
    - Physically
    - Emotionally
  - What are you able to remember about...the 5 senses
  - What was the most difficult part of this experience for you?
  - Clarify other information and details...after you facilitate all you can about the "experience"





# 1. Recognize the Impact of Trauma on Sexual Assault Investigation

- Most victims who report do so after some delay
- Most victims have difficulty remembering all the details of the sexual assault
- Most victims experience trauma reactions on an ongoing basis after the sexual assault
- Most victims do not physically resist
- Prosecutors can use expert witnesses to explain the impact of trauma



# 2. Understand Officers Make or Break Cases Based on their Approach to the

- Victim's first impression matters
   Victim
- Build rapport with the victim, use advocates
- The recipe for a bad investigation is to form a hypothesis and try to prove it
- The strategy for a good investigation is to examine all the evidence and let it take you to the truth
- Always approach a case believing that a crime occurred

#### 3. Corroboration of Details is

- Physical evidence, as possible Essential
- Sexual assault exam, pictures, crime scene
- Witness accounts from before and after
- Outcry witnesses
- Documentation of sensory and peripheral details from the victim's perspectives
- What did "no" look like?
- Follow up to see the effects of ongoing trauma in the victim's life

# 4. Focus on Offender Behavior NOT Victim Behavior

- Why did he choose victim?
- Are there other victims in his past?
  - Investigate his social circles for "similars"
- How did he manipulate the environment and circumstances to get the victim into a position of vulnerability?
  - Role of alcohol or drugs
  - Chosen location for the assault
  - Grooming behavior
  - Contrived circumstances
  - Stalking



# 5. Investigate the Offender!

- Investigate the offender's history
- Look for other victims; the majority of sexual assaults are by serial offenders
- Investigate pre and post assault behavior
- Use of "pre-text" calls
- Conduct suspect forensic exam
- Conduct effective interviews/interrogations
- Look for all violations of the law
- Require the offender to answer to the crime



## 6. Thorough Documentation

- Goal of investigation is to be fair, balanced, and thorough
- While every case is different, investigations must be consistent and thorough
- Detailed case documentation is critical
- Effective supervisory review of case is essential
- Proper case clearance and coding is a must



#### Luck of the Draw

- Cops are like everyone else they respond to the objective event in a subjective manner
- Their responses are often based upon the personal outlook they bring to the scene, tempered by:
- Family of origin
- Friends and relations
- Police culture
- Rookies represent a prime opportunity to shape and mold a victim centered approach

#### Luck of the Draw

How can we best deal with the luck of the draw and ensure that everyone is dealt with an even hand?



# Potential Danger

Responding to calls for service without basic knowledge about the effects of trauma is dangerous

- Food service certification; Educated on food borne illnesses and sanitary conditions; Educated on proper use of cleaning solutions i.e. "Don't mix Clorox and Ammonia
- Law enforcement training; Educated on hazardous situations; Educated on what to do in case of a natural gas leak i.e. "Don't set up flares!"

#### What We Don't Know

- How the traditional aggressive authoritative law enforcement response could lead to arousal of the sympathetic motor response of a victim
- In the eyes of the <u>untrained</u> the assumption may be the *person* is being deceptive
- There may be numerous reasons for a persons hyper arousal upon approach or questioning
- Assuming, and misreading, them could cause the officer to believe they are getting closer to the truth causing them to push harder
- Being blind to what's going on could result in a domino effect for a victim

#### **Bottom Line**

# Victim Centered Approach



#### **Questions & Answers**

At this time please feel free to use the text that to ask a question or raise your hand



#### **Contact Information**

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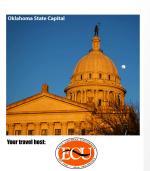
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# Acknowledgements

- Webinar Participants
- David R. Thomas M.S.
- East Central University Oklahoma
- California Coalition Against Sexual Assault
- U.S. Department of Justice Office on Violence Against Women



## **Upcoming Campus Webinar**

Thursday, November 15<sup>th</sup>, 2012
 11:00 AM – 12:30 PM (Pacific Standard Time)

